



### 3. Target Market Assessment

To be completed only by an investor who is investing into the Perpetual Geared Australian Share Fund or the Perpetual Pure Microcap Fund and is a non-advised direct investor (which is an investor without a financial adviser in this application). If this does not apply to you, please go to the next section.

Please complete the following questions by ticking one box for each question. We are seeking this information in relation to our Design and Distribution Obligations (DDO) under the Corporations Act 2001 (Cth).

We do not use the information you provide us in this application form to consider your individual objectives, financial situation or needs, however your responses to the questions below will assist us in determining whether you are likely to be in the target market for this product. If you are not in the target market for the product, your application may be rejected.

<b>What is your primary investment objective?</b>	
Capital Growth (seeks to invest in a product designed or expected to generate capital returns over the investment timeframe, or otherwise seeks an investment return above the current inflation rate)	<input type="checkbox"/>
Capital Preservation (seeks to invest in a product designed or expected to have low volatility and minimise capital loss)	<input type="checkbox"/>
Income Distribution (seeks to invest in a product designed or expected to distribute regular and/or tax-effective income)	<input type="checkbox"/>
<b>What is the intended use of this product (% of investable assets)?</b> (Investable assets are those assets that the investor has available for investment, excluding the residential home.)	
Standalone Solution (up to 100%)	<input type="checkbox"/>
Major allocation (up to 75%)	<input type="checkbox"/>
Core component (up to 50%)	<input type="checkbox"/>
Minor allocation (up to 25%)	<input type="checkbox"/>
Satellite allocation (up to 10%)	<input type="checkbox"/>
<b>What is your intended investment timeframe?</b>	
Short term (two years or less)	<input type="checkbox"/>
Medium term (more than 2 years but less than 5 years)	<input type="checkbox"/>
Medium to Long term (equal to 5 years or less than 7 years)	<input type="checkbox"/>
Long term (more than 7 years)	<input type="checkbox"/>
<b>What is the risk and return profile for the relevant portion of your portfolio you are investing in this fund?</b> (for this product only)	
Low (seeks to minimise volatility and potential losses and comfortable with a low target return)	<input type="checkbox"/>
Medium (seeks low volatility and potential losses and comfortable with a moderate target return)	<input type="checkbox"/>
High (can accept higher volatility and potential losses in order to target a higher target return over a long timeframe)	<input type="checkbox"/>
Very high (can accept very high volatility and higher potential losses and seeks to maximise returns over a long timeframe)	<input type="checkbox"/>
Extremely high (can accept significant volatility and losses to seek accelerated returns potentially in a short timeframe)	<input type="checkbox"/>



## Important notes

- You will be required to provide proof of your identity to us if previously provided certified identification has expired.
- Please read the relevant Perpetual Investment Funds Product Disclosure Statement (the PDS), Pure Series Funds PDS, Perpetual Wholesale International Share Fund, Trillium ESG Global Equity Fund PDS, Trillium Global Sustainable Opportunities Fund PDS, Perpetual WealthFocus Investment Funds, Barrow Hanley Emerging Markets Fund PDS, Barrow Hanley Global Share Fund – Class A PDS or Barrow Hanley Global Share Fund - Class A (Hedged) before completing the Switch form. Investors should retain the PDS for making switches during the life of the PDS.
- In relation to trust investors, only the trustee has rights and obligations under the Funds.
- Switches involve a withdrawal of money from one Fund at its exit price and an investment in another Fund at its entry price on the same effective date. Consequently, there may be a cost to investors due to the buy/sell spreads on unit prices. The current buy/sell spread for each Fund is publicly available at our website or can be obtained free of charge by contacting us.
- Joint applicants will be assumed to be joint tenants unless otherwise specified. Each individual is able to operate the account and bind the other(s) to any transaction including switches by any available method.
- If signing under power of attorney, the attorney certifies that he or she has not received notice of revocation of that power. The power of attorney, or a certified copy, must be sent to Perpetual, if not previously provided.
- In relation to a company, the Switch form must be signed by an authorised representative of the company or in accordance with the company's constitution or under power of attorney.
- Perpetual has an absolute discretion to accept or reject any application.
- For information, please call 1800 022 033 during business hours (Sydney time), visit [www.perpetual.com.au](http://www.perpetual.com.au) or email [PerpetualUTqueries@cm.mpms.mufg.com](mailto:PerpetualUTqueries@cm.mpms.mufg.com)

Please send the completed form to:

**Perpetual Investments Unit Registry**

**Locked Bag 5038**

**Parramatta NSW 2124**

or email: [PerpetualUTinstructions@cm.mpms.mufg.com](mailto:PerpetualUTinstructions@cm.mpms.mufg.com)