



# Perpetual Income and Growth Opportunities Funds

## Additional information about certain 'complex' funds

**The following information forms part of Product Disclosure Statement issue number 9 dated 12 May 2025 for Perpetual Income and Growth Opportunities Funds (PDS) and should be read in conjunction with the PDS.**

The Australian Securities and Investments Commission (ASIC) requires the following specific information be provided for certain funds that pursue complex investment strategies (eg via use of leverage, derivatives and short selling) and/or have other characteristics (eg complex structures and/or performance fees) that may result in increased risk:

- periodic reporting
- investment strategy
- investment manager
- fund structure
- valuation, location and custody of assets
- liquidity
- leverage
- derivatives
- short selling
- withdrawals.

The following information in this document contains the latest details pertaining to the relevant additional information contained within the PDS, which may be subject to change.

### **Approved counterparties for over-the-counter (OTC) derivatives**

The following counterparties are currently approved by Perpetual for any OTC derivatives used in managing the underlying Perpetual Defensive Alternatives Pool Fund and Perpetual Growth Alternatives Pool Fund:

- Australia and New Zealand Banking Group Limited (ANZ)
- Commonwealth Bank of Australia (CBA)
- Macquarie Bank Limited (Macquarie)
- National Australia Bank Limited (NAB)
- State Street Bank and Trust Company (State Street)
- UBS AG (UBS)
- Westpac Banking Corporation (Westpac).

### **Key service providers**

The following independent key service providers for the Fund are currently appointed by Perpetual:

- investment administrator – State Street Australia Limited<sup>1, 2</sup>
- custodian – State Street Australia Limited<sup>1, 2</sup>
- auditor – KPMG<sup>1</sup>
- unit registry – MUFG Corporate Markets (AU) Limited<sup>1, 3</sup>

1 These service providers are located in Australia.

2 This service provider also provides services from China and India.

3 This service provider also provides services from India.

## **Additional information**

The following information, updated monthly unless otherwise stated, is included in the 'Fund Updates' available at [www.perpetual.com.au/opportunities-funds](http://www.perpetual.com.au/opportunities-funds):

- net asset values of the Funds
- net returns of the Funds
- the Funds' risk profiles
- the Funds' investment strategies
- the asset allocations of the Funds by asset sector, geographic location and market type
- the names of any relevant underlying funds holding more than 10% of a Fund's assets
- the leverage ratio embedded in the underlying assets of the Funds (updated as at 30 June each year).

You can also obtain a copy of the monthly 'Fund Updates' free of charge on request.